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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Douglas C. Elliott

Application No. 09/851,678

Filed: May 8, 2001

For: PROCESS FOR LACTOSE CONVERSION

TO POLYOLS

COMMISSIONER FOR PATENTS

WASHINGTON, DC 20231

Examiner: Elvis O. Price

Date: February 5, 2003

Art Unit: 1621

CERTIFICATE OF FACSIMILE

I hereby certify that this correspondence and any documents referred to as being transmitted herewith are being facsimile transmitted to the Patent and Trademark Office, Office of Petitions, to facsimile telephone number (703) 308-6916 on

February 5, 2003.

Wayne W. Rupert Attorney for Applicant

<u>PURSUANT TO 37 C.F.R. § 1.97(b)(4)</u>

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BOX RCE

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language and/or non-English-language documents. Applicant respectfully requests that these documents be listed as references cited on the issued patent.

The filing of this Information Disclosure Statement should not be construed to be an admission that the information cited in this Statement is, or is considered to be, prior art with respect to the present application.

Applicant filed this Information Disclosure Statement ("IDS") before the mailing of a first Office action after the filing of a Request for Continued Examination. As a result, no fee should be required to file this IDS. However, if the Patent Office determines that a fee is required for Applicant to file this Information Disclosure Statement, please charge any such fees,

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or credit overpayment, to Deposit Account No. 02-4550. A duplicate copy of this Information Disclosure Statement is enclosed.

Respectfully submitted,

KLARQUIST SPARKMAN, LLP

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